REVIEW Rhinology, 39, 182-186, 2001

## Health related quality of life in sinonasal disease\*

V.J. Lund

Institute of Laryngology & Otology, University College London, 330 Gray's Inn Road, London, United Kingdom

### **SUMMARY**

Objective measurements of sinonasal disease have proved difficult to standardise and correlate poorly with the severity of symptoms. Quality of life, which has been defined as the gap between expectation and experience allows the impact of disease to be quantified thus enabling comparison of different diseases and enabling examination of therapeutic response. A range of generic and disease specific questionnaires have been developed of varying complexity. These have been applied to a range of sinonasal conditions including allergy, infection and neoplasia. With increasing refinement they may become the main primary outcome measures in both clinical trials and practice.

Key words: quality of life, sinonasal disease

#### INTRODUCTION

The nose and sinuses, whilst the source of significant symptoms, have proved remarkably resistant to the application of objective investigation. Susceptible to environmental change and manifesting a wide range of physiological responses and reflexes, the sinonasal region has proved difficult to quantify in a clinical setting. Some diagnostic techniques (Table 1) (Lund et al., 1994) have been applied sequentially in clinical research to assess therapeutic response but many are known to correlate poorly with symptoms even though they may provide important entry criteria in a particular trial (Lund and Kennedy, 1997). Even the semiquantitative assessment of symptoms using visual analogue scores can only really be applied to intraindividual variation although they can provide an important baseline in clinical studies (Lund et al., 1991). However, there is a growing awareness that from a patient's perspective, the impact of a disease on daily functioning is of considerably greater relevance than whether their ciliary beat frequency increases by two or three Hertz. Furthermore there is increasing evidence that correlations between conventional clinical markers of nasal inflammation and a patient's rhinoconjunctivitis - specific quality of life are only weak to moderate (de Graaf et al., 1996; Juniper and Guyatt, 1991).

Quality of life may be defined as the gap between expectation and experience (Calman, 1984). Health related quality of life (HRQL) is the component of overall quality of life which is determined primarily by the patient's health and which can be influenced by clinical intervention. Specifically it has been characterised as "the functional effects of an illness in and its consequent therapy upon a patient as perceived the patient"

(Schipper et al., 1990). Traditionally medicine has relied on assessment of change using laboratory or clinical tests but HRQL is increasingly recognised as an important alternative outcome measure. It has been used in a wide range of medical conditions including rheumatoid arthritis, vascular disease and respiratory tract diseases such as asthma and cystic fibrosis but it is only relatively recently that it has been applied to sinonasal disease.

# MEASUREMENT OF HEALTH RELATED QUALITY OF LIFE

Generic health instruments

A number of generic instruments have been designed which may be used in patients with any medical condition. These include the short form 36 (SF-36) (Ware and Sherbourne, 1992), European Quality of Life Measure (EuroQol, 1996), Nottingham Health Profile (NHB) (Hunt et al., 1986) and the Sickness Impact Profile (SIP) (Bergner et al., 1981). Of these, the SF-36 is one of the most widely used with its 36 items divided into eight domains: physical functioning, role physical, bodily pain, general health, vitality, social functioning, role emotional and mental health. Scores for each domain vary between 0 and 100 with 100 representing perfect health and the domains can be combined into two primary functions, mental and physical. The SF-36 is well validated, widely used and normative values are available for the general population.

The advantage of generic instruments such as the SF-36 is that the impact of illness can be compared between different medical conditions but in being broad, they lack depth and cannot encompass individual weighting of specific domains which

<sup>\*</sup> Received for publication: November 5, 2001; accepted: November 8, 2001

Quality of Life and the Nose 183

Table 1. Diagnostic techniques in sinonasal disease (after Lund et al, 1994).

## History

### General ENT examination

Allergy tests • skin tests

· total serum IgE

· serum specific IgE

Endoscopy • rigid

flexible

### Expired nitric oxide

Nasal smear • cytology

Nasal swab • bacteriology

Radiology • plain sinus radiograph

CTMRICXR

Mucociliary • nasal mucociliary clearance (NMCC)

function • ciliary beat frequency (CBF)

electron microscopy

Nasal airway • nasal inspiratory peak flow (NIPF)

rhinomanometry
 (anterior and posterior)

acoustic rhinometry

## Nasal challenge

tests

assessment

Olfaction • threshold testing

· 'scratch and sniff' tests

• full blood count and white cell

differential

erythrocyte sedimentation rate

· thyroid function tests

 anti-neutrophil cytoplasmic antibody (ANCA)

immunoglobulins and IgG subclasses

 antibody response toimmunisation with protein and carbohydrate antigens may be of greater importance to the patient. Consequently different results may be obtained after the same intervention in the same patient cohort. Klassen et al. (1999) found markedly different results in the same patients undergoing cosmetic rhinoplasty using the SF-36 and EuroQol measures.

This has led to the development of individualised measures of quality of life which are receiving increasing attention but also have their own intrinsic problems (Carr and Higginson, 2001). Some, such as the Schedule for the Evaluation of Individualised Quality of Life (SEIQOL) (Bernheim, 1999) and the Patient Generated Index (PGI) (Skevington, 1999) are administered by an interviewer and use visual analogue scales to assess the five areas which the patient identifies as being the most important to them. However, both the completion and analysis of these assessments can be quite complex and their applicability in severely ill patients may be limited.

There is clearly a need for multilingual and multidimensional quality of life assessments which are sensitive to the importance of certain areas on an individual basis.

## Disease specific questionnaires

These questionnaires focus on the symptom or symptom complex pertinent to a particular disease.

Rhinoconjunctivitis quality of life questionnaire (RQLQ) (Juniper and Guyatt, 1991)

This 28-item questionnaire describes symptoms in 7 domains (sleep, non-hay fever symptoms, practical problems, nasal symptoms, eye symptoms, activities and emotional function). Patients rate each item on a scale of 0-6 (where 6 indicates severe problems) allowing a mean value for each domain and an overall measure to be determined. The higher the score, the poorer the quality of life. It was originally developed for adults with seasonal allergic rhinitis and has been widely validated both for seasonal and perennial allergic rhinitis. Both standardised and shortened versions are available (Juniper et al., 1999, 2000) and questionnaires applicable to adolescents and children have also been developed (Juniper et al., 1994, 1998).

Rhinosinusitis outcome measure (RSOM-31) and the sinonasal outcome test (SNOT-20) (Piccirillo et al., 1995)

The original 31-item rhinosinusitis-specific questionnaire contains 7 subscales: nasal, eye, sleep, ear, general, practical and emotional and offered two rating scales, encompassing magnitude and importance. Refinement of the test reduced the number of items to 20 and modified both the magnitude and importance scales, producing an easy self-administered test.

## Chronic sinusitis survey (Gliklich and Metson, 1997)

This is a 6-item duration-based assessment of sinusitis with specific outcomes divided into a symptom-based section and a medication-based section. The symptoms are divided into

184 Lund

three (sinus headaches, facial pain or pressure; nasal discharge; nasal congestion or obstruction) which are considered over a two-month period. Two scores, one for symptoms and one for medication can be delivered together with a total score presented as a scale from 0-100 with 0 being the worst possible score and 100 the best.

## Rhinosinusitis disability index (RSDI) (Benninger, 1997)

In this test, presented in a first person descriptive format, patients are asked to relate sinonasal symptoms to specific daily functional limitation on a 5-point scale, scored as 0 for 'never' to 4 for 'always'.

Health outcomes institute chronic sinusitis - TyPE specific (Hoffman et al., 1993)

This more complex assessment consists of three forms (nasal and sinus symptoms before and after surgery and clinical classification of sinus disease) combined with a modified SF-36 and a survey of health conditions and health risk inventory as part of a "Patient Outcomes Following the Surgical Management of Chronic Rhinosinusitis" Project. The complexity and time taken to complete this undoubtedly limits its wide application.

## Symptom score (Lund et al., 1991)

In this self-administered test patients mark on a 10 centimetre line (between 0 and 10) where symptom severity falls for five sinonasal symptoms (facial pain or pressure, headache, nasal blockage or congestion, nasal discharge and olfactory disturbance) (where 10 indicates the greatest severity). The relative length from the origin of the line is measured and rounded to the nearest integer. Patients are also asked to rank in order of severity their three worse symptoms allowing distinction between symptoms given the same visual analogue score.

## Utilities

A further refinement popular with health economists is the measurement of utilities which represent the value that either patients or society place on various health states. From these quality adjusted life years (QUALYS) (Torrance, 1986) may be derived but there are difficulties in applying these measurements to non-life threatening conditions such as rhinitis. A Rhinitis Symptom Utility Index (Revicki et al., 1998) has been developed in an attempt to measure the value that society places on the condition. It consists of ten questions on the severity and frequency of ENT symptoms with an algorithm based on societal responses to various nasal and ocular symptom dates using the Standard Gamble and Rating scale. (Torrance, 1986). However, this does not take into account the value patients place on rhinitis-induced quality of life impairment such as sleep disturbance.

Measures of quality of life in head and neck cancer

A large number of standardised and validated questionnaires

have been developed, some of which have been mofidied for head and neck cancer. These include the EORTC QLQ-H+N-37, (Bjordal et al., 1994) the University of Washington QOL Head and Neck Questionnaire (Hassan and Weymuller, 1993) and the Functional Assessment of Cancer Therapy Scale (FACT-G and H&N Additional Concerns) (Cella, 1993). Sinonasal tumours fortunately remain relatively rare but we should not underestimate the general and specific effects of radiotherapy and surgery in this area, particularly on the sense of smell and perception of flavour.

#### SINONASAL DISEASE

Allergy

Both generic (SF-36) and specific (RQLQ) questionnaires have proved extremely helpful in demonstrating the impact that allergic rhinitis can have on quality of life. Studies by Bousquet and colleagues (Bousquet et al., 1994a; Bousquet et al., 1994 b) have demonstrated a burden of illness not only comparable but greater than that of asthma, something not readily appreciated by the health community at large. The SF-36 has also been used to show the difference between seasonal and perennial allergic rhinitis (Ostinelli and Bousquet, 1998) as well as the effects of therapy such as non-sedating H1 antihistamines (Bousquet et al., 1996). The RQLQ has been used in several therapeutic trials looking at the effect of nasal corticosteroids (Meltzer, 1998; Juniper et al., 1992; Juniper et al., 1993a; Juniper et al., 1993b; Juniper et al., 1998; Ståhl et al., 2000; Svensson et al., 2000), H<sub>1</sub> antihistamines (Harvey et al., 1996) and the combination of these drugs (Ratner et al., 1998).

The impact on quality of life of immunotherapy has also been considered (Fell et al., 1997) and the use of both steroids and topical steroids and anticholinergic drugs have been examined. (Dockhorn et al., 1999; Grossman et al., 1995; Milgrom et al., 1999) in perennial non-allergic rhinitis.

## Infection

Recent attention has focussed on chronic rhinosinusitis as a societal burden suggesting it may be as great as rheumatoid arthritis, chronic obstructive airways disease and insulin dependent diabetes. The application of these instruments to surgical outcome also provide another dimension of assessment which may be of considerable importance in the context of health economics (Gliklich and Metson, 1997; Metson and Gliklich, 1998; Winstead and Barnett, 1998). These have largely considered the impact of endoscopic sinus surgery. However, attempts to develop ratings of appropriateness for sinus surgery have not proved successful in predicting patient outcomes (Jones et al., 1998).

The impact on social life of recurrent upper respiratory tract infections in the paediatric population may be looked at indirectly by measures of parental quality of life (Berdeaux et al., 1998).

Quality of Life and the Nose 185

## Neoplasia

Nasal and sinus tumours comprise a relatively small component of head and neck cancer and as a consequence have not featured in any significant numbers in the studies done to date. However, these patients often present late and require major and potentially disfiguring surgery such as maxillectomy and orbital clearance together with radiotherapy and occasionally chemotherapy. In our own study of 48 head and neck cancer patients using a modified EORTC questionnaire, 11 patients were included undergoing craniofacial resection who despite good cosmesis experienced problems with the sense of smell and taste and scored higher on a Hospital Anxiety and Depression Scale than those undergoing laryngectomy (Jones et al., 1992). Greater emphasis will need to be paid to this area in the future. (Cusimano, 1999).

### CONCLUSION

Many sinonasal conditions have a significant impact on quality of life which may be demonstrated by both general and/or disease specific questionnaires. They have proved very useful providing comparative data with other medical conditions which many would regard as more serious. They have also proved of use in demonstrating therapeutic response, both medical and surgical though it should be recognised that they may be less good in this respect when considering chronic conditions as opposed to acute. At present the questionnaires do not allow for individualised bias but with additional refinement could become the main primary outcome measures in clinical trials. The measurement of quality of life is not the ultimate panacea in the assessment of disease and therapeutic outcome. (Gill and Feinstein, 1994) but it is an increasingly respected evaluation and we ignore it at our peril.

## REFERENCES

- 1 Benninger, MS (1997) The development of the Rhinosinusitis Disability Index (RSDI). Arch Otolaryngol HMS 123: 1175-1179.
- 2 Berdeaux G, Hervie C, Smajda C, Marquis P (1998) Parental quality of life and recurrent ENT infections in their children: development of a questionnaire. Rhinitis Survey Group Qual Life Res 7(6): 501-512.
- 3 Bergner M, Bobbitt RA, Carter WB, Gilson BS (1981)The sickness impact profile development and final revision of a health status measure. Med Care 19: 787-805.
- 4 Bernheim JL (1999) How to get serious answers to the serius question: 'How have you been?' Subjective quality of life (QOL) as an individual experimental emergent construct. Bioethics 13: 272-287.
- 5 Bjordal K, Ahlner-Elmqvist M, Tollesson E, Jensen AB, Razavi D, Maher EJ, Kaasa S (1994) Development of a European Organisation for Research and treatment of Cancer (EORTEC), questionnaire module to be used in quality of life assessments in head and neck cancer patients. Acta Oncol 33: 879-885.
- 6 Bousquet J, Bullinger M, Fayol C, Marquis P, Valentin B, Burtin B (1994) Assessment of quality of life in patients with perennial allergic rhinitis with the French version of the SF-36 Health Status

- Questionnaire. J Allergy Clinic Immunol 94: 182-188.
- 7 Bousquet J, Knani J, Dhivert H, Richard A, Chicoye A, Ware JE, Michel FB (1994) Quality of life in asthma. 1. Internal consistency and validity of the SF-36 questionnaire. Am J Respir Cri Care Med 149: 371-375.
- 8 Bousquet J, Duchateau J, Pignat JC, Fayol C, Marquis P, Maris S (1996) Improvement of quality of life by treatment with cetirizine in patients with perennial allergic rhinitis as determined by a French version of the SF-36 questionnaire. J Allergy Clin Immunol 98: 306-316
- 9 Calman KC (1984) Quality of life in cancer patients an hypothesis. J Med Ethics 10: 124-127.
- 10 Carr AJ, Higginson IJ (2001) Are quality of life measures patient centred? BMJ 322: 1357-1360.
- 11 Cella DF (1993) The functional assessment of cancer therapy scale: development and validation of the general measure. J Clin Oncol 11: 570-579.
- 12 Cusimano (1999) Quality of life assessments in patients with lesions of the cranial base. Skull Base Surg 9: 259-264.
- 13 de-Graaf in-'t-Veld T, Koenders S, Garrelds IM, Gerth-van-Wijh R (1996) The relationships between nasal hyper-reactivity, quality of life, and nasal symptoms in patients with perennial allergic rhinitis. J Allergy Clin Immunol 98: 508-513.
- 14 Dockhorn R, Aaronson D, Bronsky E, Chervinsky P, Cohen R, Ehtessabian R, Finn A, Grossman J, Howland W, Kaiser H, Pearlman D, Sublett J, Ratner P, Settipane G, Sim T, Storms W, Webb R, Drda K, Wood C (1999) Ipratropium bromide nasal spray 0.038% and beclomethasone nasal spray alone and in combination for the treatment of rhinorrhea in perennial rhinitis. Ann Allergy Asthma Immunol 82: 349-359.
- 15 EuroQol Group EuroQol EQ-3D user guide. Rotterdam: Rotterdam Centre for Health Policy and Law, Erasmus University. 1996.
- 16 Fell WR, Mabry RL, Mabry CS (1997) Quality of life analysis of patients undergoing immunotherapy for allergic rhinitis. Ear Nose Throat J 76: 528-532 and 534-536.
- 17 Gill TM, Feinstein AR (1994) A critical appraisal of the quality of life of quality-of-life measurements. JAMA 272: 619-626.
- 18 Gliklich RE, Metson R (1997) Effect of sinus surgery on quality of life. Otolaryngol Head Neck Surg 117: 12-17.
- 19 Grossman J, Banov C, Boggs P, Bronsky EA, Dockhorn RJ, Druce H, Findlay SR, Georgitis JW, Hampel FC, Kaiser H (1995) Use of ipratropium bromide nasal spray in chronic treatment of non-allergic perennial rhinitis, alone and in combination with other perennial rhinitis medications. J Allergy Clin Immunol 95: 1123-1127.
- 20 Harvey RP, Comer C, Sanders B, Westley R, Marsh W, Shapiro H (1996) Model for outcomes assessment of antihistamine use for seasonal allergic rhinitis. J Allergy Clin Immunol 97: 1233-1241.
- 21 Hassan SJ, Weymuller EA (1993) Assessment of quality of life in head and neck cancer patients. Head and Neck 15: 485-496.
- 22 Hoffman SR, Mahoney MG, Chmiel JF, Stinziano GD, Hoffman KN (1993) Symptom relief after endoscopic sinus surgery: an outcomes-based study. Ear, Nose and Throat J 72: 413-414 and 419-420.
- 23 Hunt SM, McEwan J, McKenna SP (1986) Measuring health status. Beckenham: Croom Helm.

186 Lund

- 24 Jones E, Lund VJ, Howard DJ, McCarthy M, Greenberg M (1992) Quality of life of patients treated surgically for head and neck cancer. J Laryngol Otol 106: 238-242.
- 25 Jones ML, Piccirillo JF, Haiduck A, Thawley SE (1998) Functional endoscopic sinus surgery: Do ratings of appropriateness predict patient outcomes? Am J Rhinol 12: 249-255.
- 26 Juniper EF, Guyatt GH (1991) Development and testing of a new measure of health status for clinical trials in rhinoconjunctivitis. Clin Exp Allergy 21: 77-83.
- 27 Juniper EF, Guyatt GH, Andersson B, Ferrie PJ (1993) Comparison of powder and aerosolized budesonide in perennial rhinitis: validation of rhinitis quality of life questionnaire. Ann Allergy 70: 225-230.
- 28 Juniper EF, Guyatt GH, Archer B, Ferrie PJ (1993) Aqueous beclomethasone dipropionate in the treatment of rag-weed pollen - induced rhinitis: further exploration of "as needed" use. J Allergy Clin Immunol 92: 66-72.
- 29 Juniper EF, Guyatt GH, Dolovich J (1994) Assessment of quality of life in adolescents with allergic rhinoconjunctivitis: development and testing of a questionnaire for clinical trials. J Allergy Clin Immunol 93: 413-423.
- 30 Juniper EF, Howland WC, Roberts NB, Thompson AK, King DR (1998) Measuring quality of life in children with rhinoconjunctivitis. J Allergy Clin Immunol 101: 163-170.
- 31 Juniper EF, Thompson AK, Ferrie PJ, Roberts JN (1999) Validation of a standardized version of the rhinoconjunctivitis quality of life questionnaire. J Allergy Clin Immunol 104: 364-369.
- 32 Juniper EF, Thompson AK, Ferrie PJ, Roberts JN (2000) Development and validation of the mini rhinoconjunctivitis quality of life questionnaire. Clin Exper Allergy 30: 132-140.
- 33 Juniper EF, Willms DG, Guyatt GH, Ferrie PJ (1992) Aqueous beclomethasone dipropionate nasal spray in the treatment of seasonal (ragweed) rhinitis. CMAJ 147(6): 887-892.
- 34 Klassen A, Fitzpatrick R, Jenkinson G, Goodacre T (1999) Contrasting evidence for the effectiveness of cosmetic surgery from two health related quality of life measures. J Epidemiol Common Health 53: 440-441.
- 35 Lund VJ (1994) International Consensus Report of the Diagnosis and Management of Rhinitis. Chairman and Editor. Allergy. Supplement 19, 49: 1-34.
- 36 Lund VJ, Holmstrom M, Scadding GK (1991) Functional endoscopic sinus surgery in the management of chronic rhinosinusitis: an objective assessment. J Laryngol Otol 105: 832-883.
- 37 Lund VJ and Kennedy DW (1997) Staging for Rhinosinusitis In: Report of the Rhinosinusitis Task Force Committee AAO-HNS Otolaryngology-Head and Neck Surgery Vol.117 (Suppl.) pp35-40.
- 38 Meltzer EO (1998) Clinical and anti-inflammatory effects of intranasal budesonide aqueous pump spray in the treatment of perennial allergic rhinitis. Ann Allergy Asthma Immunol 81: 128-
- 39 Metson R, Gliklich RE (1998) Clinical outcome of endoscopic surgery for frontal sinusitis. Arch Otolaryngol Head Neck Surg 124: 1090-1096.
- 40 Milgrom H, Biondi R, Georgitis JW, Meltzer EO, Munk ZM, Drda

- K, Wood CC (1999) Comparison of ipratropium bromide 0.03% with beclomethasone dipropionate in the treatment of perennial rhinitis in children. Ann Allergy Asthma Immunol 83: 105-111.
- 41 Ostinelli J, Bousquet J (1998) Effect on nasal steroids on generic quality of life in seasonal allergic rhinitis. J Allergy Clinic Immunol 101: S246.
- 42 Piccirillo JF, Edwards D, Haiduk A, Yonan C, Thawley SE (1995) Psychometric and clinimetric validity of the 31-item rhinosinusitis outcome measure (RSOM-31). Am J Rhinol 9: 297-306.
- 43 Ratner PH, van-Bavel JH, Martin BG, Hampel F, Jr., Howland WR, Rogenes PR, et al. (1998) A comparison of the efficacy of fluticasone propionate aqueous nasal spray and loratadine, alone and in combination, for the treatment of seasonal allergic rhinitis. Fam Pract 47: 118-125.
- 44 Revicki Da, Leidy NK, Brennan Diemer F, Thompson C, Togias A (1998) Development and preliminary validation of the multiattribute Rhinitis Symptom Utility Index. Qual Life Res 7: 693-702.
- 45 Schipper H, Clinch J, Powell V (1990) Definitions and conceptual issues. Quality of life assessment in clinical trials. Editor B. Spilker. Raven Press ltd. New York 1990 11-24.
- 46 Skevington S (1999) Measuring quality of life in Britain. Introducing the WHOQOL-100. J Psychsom Res 47: 449-459.
- 47 Ståhl E, Bende M, Svensson K, Carrillo T, Vóna I, da Graca Castel-Branco M, Arheden L (2000) Budesonide aqueous nasal spray improved quality of life in patients with perennial allergic rhinitis assessed by a disease specific quality of life questionnaire. J Allergy Clin Immunol 105: 279.
- 48 Svensson K, Bende M, Ståhl E, Carrillo T, Vóna I, da Graca Castel-Branco M, Arheden L (2000) Budesonide aqueous nasal spray improved quality of life in patients with perennial allergic rhinitis assessed by a generic questionnaire. J Allergy Clini Immunol 105: 306.
- 49 Torrance GW (1996) Measurement of health state utilities for economic appraisal. J Health Econom 5: 1-30.
- 50 Ware JE, Sherbourne CD (1992) The MOS 36 Item Short-Form Health Survey (SF-36). I. Conceptual framework and item selection. Med Care 30: 473-483.
- 51 Winstead W, Barnett SN (1998) Impact of endoscopic sinus surgery on global health perception: an outcome study. Otolaryngol Head Neck Surg 119: 486-491.

Valerie Lund MS FRCS FRCS Ed Professor of Rhinology Institute of Laryngology & Otology University College London 330 Gray's Inn Road London WC1X 8DA United Kingdom

Tel: +44 71 915 1497 Fax: +44 71 833 9480 E-mail:: v.lund@ucl.ac.uk